

IWS Strategic Risk Weighted Series

Unparalleled access to institutional level managed accounts

IWS Strategic Series

The IWS Strategic Models are designed to generate enhanced risk-adjusted returns relative to a traditional stock/bond risk allocation. Each strategy is comprised entirely of exchange trade funds (ETFs) and is actively managed on an as needed basis. Tax aware options are also available.

IWS Strategic 100/0



IWS Strategic 50/50



IWS Strategic 0/100

IWS Strategic Risk Weighted Tax Aware Portfolios

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IWS Strategic Risk Weighted Tax Aware Portfolios

The IWS Strategic Models are designed to generate enhanced risk-adjusted returns relative to a traditional stock/bond risk allocation. Each strategy is comprised entirely of exchange trade funds (ETFs) and is actively managed on an as needed basis. Mirrors the philosophy of the IWS Strategic Risk Weighted Portfolio but traded less frequently to manage tax liability.

IWS Strategic 100/0



IWS Strategic 50/50



IWS Strategic 0/100

INVESTMENT OFFERINGS

IWS Stock Series

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The IWS Stock Series of models are composed of hand selected individual equities managed to the mandate of the specific strategy.

IWS Stock Series

The IWS Stock Series includes two equity models comprised of 40-60 individual securities to either generate long-term growth or provide a high level of dividends. The third stock series model incorporates income generating equity and fixed income securities to produce growth and income. The fourth is niche, focused on downside risk, and extremely tactical.

- Focused Equity
- Select Dividend
- Income Opportunities
- Dynamic

IWS Stock Series Focused Equity

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IWS Stock Series Focused Equity

The IWS Stock Series Focused Equity model prioritizes long-term capital appreciation by allocating to stocks with characteristics indicating the potential for above-average growth in revenue and earnings. In addition to growth factors, IWS considers company quality, technical strength, and momentum in selecting investments aligned with IWS's macro-level perspectives.

Minimum: \$50,000

Holdings: 40-60 stocks

Benchmark: S&P 500 Index

IWS Stock Series Select Dividend

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IWS Stock Series Select Dividend

The IWS Stock Series Select Dividend model seeks long-term capital appreciation and income by investing in dividend-paying, quality companies and targets a dividend yield of approximately 150% of the S&P 500 and/or near the yield of its benchmark. The strategy emphasizes quality factors and dividend payments, but also considers technical strength and momentum aligned with IWS's macro-level perspectives.

Minimum: \$50,000

Holdings: 40-60 stocks

Benchmark: S&P 500 Dividend
Aristocrats

IWS Stock Series Income Opportunities

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IWS Stock Series Income Opportunities

The IWS Stock Series Income Opportunities model focuses on generating high levels of current income while considering capital appreciation as a secondary objective. The strategy targets a 5% yield with an allocation composed of 80% individual equities and 20% fixed income ETFs and has broad authority to invest in income producing equity investments such as lower capitalization companies, BDC's and publicly traded REITs.

Minimum: \$50,000

Holdings: 40-60 stocks & FI ETFs

Benchmark: S&P 500 Dividend,
10% S&P High Yield Bond, 10% S&P
Investment Grade Bond

IWS Stock Series Dynamic

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IWS Stock Series Dynamic

The IWS Stock Series Dynamic model focuses on providing risk adjusted returns against its 80% risk on/ 20% risk off benchmark by managing the overall risk allocation and individual exposures. The managers are unconstrained in the model's allocation to risk or specific investments. The model is attentive to downside protection, has a high tracking error, and is traded extremely frequently. In most cases, the strategy is only appropriate for qualified accounts.

Minimum: \$100,000

Holdings: 40-100 stocks & FI ETFs

Benchmark: S&P Aggressive
Allocation (80% Equity / 20% Fixed
Income)

Custodian: Schwab Only

IWS Direct Indexing Strategy

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IWS Direct Indexing is a customizable, systematically managed strategy designed to track a selected index benchmark while generating tax alpha.

IWS Direct Indexing

The IWS Direct Indexing Strategy holds potentially up to 200+ individual equities allocated to lower tracking error to a specific benchmark.

The strategy is focused on neutralizing concentrated positions while generating tax losses to either mitigate low basis positions or to carry forward losses to offset future gains.

- All Cap
- Large Cap
- Large Cap Value
- Large Cap Growth